

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

Borough of Wilkinsburg

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X": Non-Uniform Plan Police Plan
 Fire Plan

Q.1	<p><u>Lori Kinzler</u>, Account Administrator:</p> <ul style="list-style-type: none"> • Provides daily contact with client regarding administration of account • Facilitates pension disbursements • Coordinates in-flows and out-flows of cash • Provides audit support <p><u>Joyce Frydryck</u>, Team Leader:</p> <ul style="list-style-type: none"> • Leadership oversight of Account Administrator including problem resolution, product development, and project management. <p><u>Steve Shapiro</u>, Portfolio Manager:</p> <ul style="list-style-type: none"> • Meets with clients to ensure their needs are being met • Introduces new products and services that will add value for client • Consults with clients to develop or enhance investment policy guidelines • Determines allocation strategy with client • Monitors blend and sector allocation continuously • Provides quarterly investment management reports and commentary • Provides direct line to client for portfolio strategy discussion or performance analysis • Attends board meetings when requested. <p>The following individuals provide managerial oversight of the individuals listed above:</p> <ul style="list-style-type: none"> • Mark Leib, Vice President, Managing Director • Sylvia Diez, Senior Vice President • Michael Wilson, Managing Director
Q.2	<p>PNC Capital Advisors. The individual below has managerial oversight over the services provided by PNC Bank, N.A. for the Plan:</p> <p>Kevin McCreadie, Executive Vice President, President of PNC Capital Advisors</p>
Q.3	<p>According to our records, no individuals who are providing professional services to the Borough of Wilkinsburg's Pension Plan are current or former employees of the Borough of Wilkinsburg.</p>

Q.4	According to our records, no individuals who are providing professional services to the Borough of Wilkinsburg's Pension plan are current or former registered State or Federal lobbyists.
Q.5	PNC has not employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any official or employee of the Borough of Wilkinsburg's Pension Plan or in connection with any transaction or investment involving PNC and the municipal pension system. PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.
Q.6	According to our records, since December 17, 2009 no PNC officer, director or employee of PNC has solicited a contribution to any municipal official or candidate for municipal office in the Borough of Wilkinsburg or to the political party or political action committee of an official or candidate.
Q.7	According to our records, since December 17, 2009, neither PNC nor any Affiliated Entity has made a contribution to a municipal official or candidate for municipal office in the Borough of Wilkinsburg.
Q.8	<p>Neither PNC nor any Affiliated Entity has any direct financial, commercial or business relationship with any official identified on the List of Municipal Officials, of the Borough of Wilkinsburg.</p> <p>PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the "List of Municipal Officials" of the Borough of Wilkinsburg.</p>
Q.9	According to our records, neither PNC nor any Affiliated Entity has given any gifts having more than a nominal value to any official listed on the "List of Municipal Officials" of the Borough of Wilkinsburg.
Q.10	<p>In compliance with the provisions of Act 44, requiring the reporting of certain political contributions made within the last five years, PNC is providing the following reports:</p> <p>For reporting of political contributions made prior to the implementation of PA Act 44 on December 17, 2009, PNC is providing the report entitled <i>PA Contributions 2007-2009</i>. This report reflects all contributions for 2007-2009 previously reported by PNC as to its directors and officers under the provisions of the Pennsylvania Election Code (25 P.S. § 3260a). This includes contributions of \$1,000 or greater by PNC officers designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215) and directors.</p> <p>For reporting of political contributions after the implementation of PA Act 44 on December 17, 2009, PNC is providing the report entitled <i>PA Contributions 2010-2012</i>. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2010-2012 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders.</p> <p>Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).</p>

Q.11	According to our records, we are not aware of any apparent, potential or actual conflict of interest with respect to any officer, director or employee of PNC and officials or employees of the Borough of Wilkinsburg.
Q.12	No additional information to disclose.

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure.

One of the individuals identified by the **Contractor in Item #1** above must participate in completing this

Disclosure and must sign the below verification attesting to the participation of those individuals named below.

Name: Amy March

Name:

Position: Government Ethics Manager

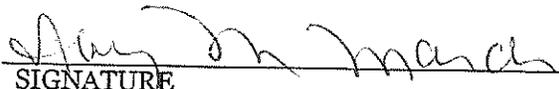
Position:

Name:

Name:

Position:

Position:


SIGNATURE

Government Ethics Manager
TITLE

Jan 4, 2013
DATE

VERIFICATION

I, Joyce A Frydryck, hereby state that I am a Vice President for
(Name) (Position)
PNC Bank Institutional Investment Group and I am authorized to make this verification.
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to the Borough of Wilkinsburg's Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 70S-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce A Frydryck
Signature

1-3-2013
Date

PA CONTRIBUTIONS 2010-2012

Date of Contribution	Name of Contributor	Address of Contributor	Title	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Recipient Type	Office of Person Receiving Contribution	Amount of Contribution
3/8/2010	Bunch, Charles	1414 Beechwood Blvd, Pittsburgh PA 15217	PNC Director	Self	Tom Corbett	Candidate (or Committee) for State or Local Office	Governor	\$ 1,000
4/8/2010	Bunch, Charles	1414 Beechwood Blvd, Pittsburgh PA 15217	PNC Director	Self	Steve Black	Candidate (or Committee) for State or Local Office	Governor	\$ 1,000
7/11/2012	Cheilgren, Paul	817 Squire Lake Drive, Villa Hills, KY 41017	PNC Director	Self	John Weinstein	Candidate (or Committee) for State or Local Office	Judge, Hamilton County Court of Common Pleas	\$ 575
1/16/2010	Pepper, Jane	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Dominic Pileggi	Political Action Committee (PAC) Other Than a Committee	PAC	\$ 250
4/28/2010	Pepper, Jane	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Andy Toy	Candidate (or Committee) for State or Local Office	Senate	\$ 1,000
6/23/2011	Pepper, Jane	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Eagle Forum PAC N/A	Candidate (or Committee) for State or Local Office	Philadelphia City Council	\$ 100
2/11/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Bracken Burns	Candidate (or Committee) for State or Local Office	Governor	\$ 10,000
2/28/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Frank Dermody	Candidate (or Committee) for State or Local Office	Washington Co- Commissioner Committee	\$ 125
3/1/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Tom Corbett	Candidate (or Committee) for State or Local Office	House of Representatives	\$ 1,000
10/14/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Dan Onorato	Candidate (or Committee) for State or Local Office	Governor	\$ 10,000
10/20/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Michael Lamb	Candidate (or Committee) for State or Local Office	Governor	\$ 1,000
10/20/2010	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Frank Dermody	Candidate (or Committee) for State or Local Office	City of Pittsburgh Controller	\$ 1,000
7/22/2011	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Dan Onorato	Political Action Committee (PAC) Other Than a Committee	PAC	\$ 500
11/1/2011	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Luke Ravenstahl	Candidate (or Committee) for State or Local Office	House of Representatives	\$ 350
10/2/2012	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Frank Dermody	Candidate (or Committee) for State or Local Office	Mayor of Pittsburgh	\$ 2,000
10/9/2012	Rohr, James	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Mike Turzai	Candidate (or Committee) for State or Local Office	House of Representatives	\$ 1,000
7/27/2010	Usher, Thomas	26124 Fawwood Court, Bonilla Springs FL 34134	PNC Director	Self	Mike Turzai	Candidate (or Committee) for State or Local Office	House of Representatives	\$ 15,000

PA Contributions 2006-2009

Name of Contractor: PNC
 Address of Contractor: 249 Fifth Ave. Pittsburgh PA 15222

Date of Contribution	Name of Contributor	Address of Contributor	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Office of Person Receiving Contribution	Amount of Contribution
2009						
9/12/2009	Thomas J & Sandra L. Usher	Oakmont, PA 15139	PNC Director & Spouse	Kavin Acklin	Candidate, City of Pittsburgh Mayor	\$5,000
10/9/2009	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Jane Ori-Melvin	PA State Senator	\$1,000
11/1/2009				John Kennedy	Candidate, PA Lieutenant Governor	\$5,000
12/23/2009	Charles E. Bunch	Pittsburgh, PA 15217	PNC Director	Dan Onorato	Candidate, PA Governor	\$2,500
2008						
2/22/2008	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Jim Taylor	Candidate, PA Senate	\$5,000
2/22/2008				Steve McDonald	Candidate, PA Senate	\$5,000
5/22/2008				Tim Krieger	PA House of Representatives	\$4,000
9/1/2008				Jason Davidek	Candidate, PA House of Representatives	\$3,000
9/1/2008				Dave Huffman	Candidate, US Senate	\$5,000
3/8/2008	George A. Davidson, Jr.	Sevickley, PA 15145	PNC Director	Republican Committee of Allegheny County	Republican Committee of Allegheny County	\$1,000
5/23/2008				John Pippy	PA Senate	\$500
3/20/2008	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Run Baby Run	Outreach Group-Women for State House	\$1,000
7/27/2008				Bill DeWeese	PA House of Representatives	\$2,000
7/22/2008				Joe Scarnati	PA Senate	\$1,500
8/1/2008				Dan Onorato	Allegheny County Chief Executive	\$25,000
10/14/2008				Frank Dermody	PA House of Representatives	\$1,500
10/14/2008				John Perzel	PA House of Representatives	\$1,000
10/17/2008				Run Baby Run	Outreach Group-Women for State House	\$500
11/25/2008				Luke Ravenstahl	Pittsburgh Mayor	\$2,500
4/8/2008	David Pudlin	Bryn Mawr, PA 19010	Spouse of Helen Pudlin Senior Vice President and General Counsel	Daylin Leach	PA Senate	\$100
6/18/2008				Michael Nutter	Philadelphia Mayor	\$1,000
10/14/2008				Josh Shapiro	PA House of Representatives	\$200
7/21/2008	Joseph C. Guyaux	Pittsburgh, PA 15215	President	Joe Scarnati	PA Senate	\$1,000
7/27/2008				Bill Deweese	PA House of Representatives	\$500
2007						
1/25/2007	Jane Pepper	Media, PA 19053	PNC Director	Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$1,000
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Dwight Evans	PA House of Representatives	\$50
				Alice Dubow	Judge - Philadelphia	\$50
				GPCC PennPac	Tom Corbett - PA Attorney General	\$250
2/23/2007	Thomas J. Usher	Oakmont, PA 15139	PNC Director	Young Conservatives of PA	Filed as a 501c4 Civic Youth Organization	\$25,000
				Doug Shields	President - Pittsburgh City Council	\$1,000
				Ron Francis	Ron Francis for Congress	\$1,000
				Maureen Lally-Green	Judge - PA Superior Court	\$5,000
4/25/2007	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Maureen Lally-Green	Judge - PA Superior Court	\$2,500
8/21/2007				Leinbach	For Congress	\$2,500
11/15/2007				Cheryl Allen	Judge - PA Superior Court	\$500
4/22/2007	Helen P. Pudlin & David Pudlin	Bryn Mawr, PA 19010	Sr. VP & General Counsel & Spouse	Alice Beck Dubow	Judge - PA Superior Court	\$500
	Helen P. Pudlin	Bryn Mawr, PA 19010	Sr. VP & General Counsel	Jackie Shogun	Judge - PA Superior Court	\$500
	David Pudlin	Bryn Mawr, PA 19010	Spouse Helen Pudlin, General Counsel	Edward Rendell	PA Governor	\$1,000
4/27/2007	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Maureen Lally-Green	Judge - PA Superior Court	\$1,000
4/7/2007				Michael Lamb	Controller - City of Pittsburgh	\$250
8/20/2007				Dan Onorato	Allegheny County Chief Executive	\$10,000
8/22/2007				POWER	Power of Women Electing Republicans in PA	\$250

Date of Contribution	Name of Contributor	Address of Contributor	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Office of Person Receiving Contribution	Amount of Contribution
2006:						
3/19/2006	Helen P. Pudlin	Bryn Mawr, PA 19010	General Counsel	Robert Jubelirer	PA Senate	\$250
6/13/2006				Edward Rendell	PA Governor	\$3,500
9/17/2006				Olivia Brady	PA House of Representatives	\$100
5/2/2006	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Bob O'Connor	Pittsburgh Mayor	\$2,000
5/9/2006				Run Baby Run	Outreach Group-Women for State House	\$500
8/22/2006				P.O.W.E.R. PAC	Power of Women Electing Republicans in PA	\$200
9/11/2006				SRCC	Senate Republican Campaign Committee	\$500
9/11/2006				Edward Rendell	PA Governor	\$10,000
9/11/2006				Mike Turzai	PA House of Representatives	\$500
9/11/2006				HDCC	House Democratic Campaign Committee	\$500
1/3/2006	Thomas J. Usher	Oakmont, PA 15139	PNC Director	Lynn Swann	Candidate, PA Governor	\$10,000
5/10/2006				Lynn Swann	Candidate, PA Governor	\$10,000
6/14/2006				Lynn Swann	Candidate, PA Governor	\$20,000
4/18/2006				Eileen Watt	Candidate, PA House of Representatives	\$5,000
8/25/2006				Mark Harris	Candidate, PA House of Representatives	\$1,000
4/16/2006	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, Director	John Eichelberger	PA Senate	\$5,000
10/2/2006				John Eichelberger	PA Senate	\$1,000
4/16/2006				Mike Folmer	PA Senate	\$5,000
10/3/2006				Mike Folmer	PA Senate	\$1,000
4/16/2006				Young Conservatives of Pa	Filed as a 501c4 Civic Youth Organization	\$5,000
8/14/2006				Young Conservatives of Pa	Filed as a 501c4 Civic Youth Organization	\$5,000
8/28/2006				Republican Committee of Allegheny County	Republican Committee of Allegheny County	\$1,000